Attorney Docket No. UM-06692

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Theodora Ross et al.

Serial No.:

10/007,047

12/06/01

Filed: Entitled:

HIP1 Cancer Markers

Group No.:

Examiner:

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 6, 2004

Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Information Disclosure Statement is being filed before the issuance of a first Office Action, therefore, Applicant's believe no fee is required. If the Commissioner deems otherwise, th Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated:

May 6, 2004

Tanya A. Arenson Registration No. 47,391

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105

608/218-6900



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## INFORMATION DISCLOSURE STATEMENT

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I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope as Express Mail Post Office to Addressee under Express Mail Label No. EL 837 033 573 US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 6, 2004.

By:

Mary Ellen Waite

### Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

The following printed publications are referred to in the body of the specification:

- Abate-Shen and Shen, Genes Dev. 14:2410 [2000];
- Ruijter *et al.*, Endocr Rev. 20:22 [1999];
- Kalchman et al., Nat.Genet. 16:44 [1997];
- Wanker et al., Hum. Mol. Genet. 6:487 [1997];

### UM-06692 May 6, 2004

- Metzler et al., J. Biol. Chem. 276:39271 [2001];
- Mishra et al., J. Biol. Chem. 276:46230 [2001];
- Rao et al., Mol Cell Biol. 21:7796 [2001];
- Waelter et al., Hum. Mol. Genet. 10:1807 [2001];
- Itoh et al., Science 291:1047 [2001];
- Ford *et al.*, Science 291:1051 [2001]
- Kim et al., Curr Opin. Oncol. 13:506 [2001]
- Davies et al., Nature 417:949 [2002]
- Bos, Cancer Res. 49:4682 [1989]
- Vieira et al., Science 274:2086 [1996]
- DiFiore et al., Cell 106:1 [2001]
- Monks et al., J. Natl. Cancer inst., 83:757 [1991];
- Ross et al., Blood 91:4419 [1998];
- Greenberg et al., PNAS 92:3439 [1995]; and
- Perrone et al., J. Natl. Cancer Inst., 92:937 [2000].

The following publications are referred to in the body of the specification of the corresponding PCT patent application:

- Rao et al., J. Clin Invest. 110:351 [2002];
- Saint-Dic et al., J. Biol. Chem. 276:21192 [2001];
- DiFiore *et al.*, Cell 51:1063 [1987];
- Daley et al., PNAS 85:9312 [1988];
- DiFiore *et al.* Science 237:178 [1987];
- Yarden et al., Nat. Rev. Mol. Cell. Biol. 2:127 [2001];
- Confalonieri et al., J. Cell. Biol. 150:905 [2000]; and
- Fazioli et al., Mol. Cell. Biol. 13:5814 [1993].

## UM-06692 May 6, 2004

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- WO 00/78813 describes stabily transfected cells expressing mutant Huntingtin protein and antibodies that bind to the mutant protein. This publication does not describe an antibody that specifically binds to HIP1 but does not specifically bind to the normal epithelium of prostate or colon, a method of detecting cancer comprising detecting the presence of HIP1, kits for detecting cancer, methods of screening for compounds that alter HIP1 expression, or transgenic animals with altered HIP1 expression; and
- U.S. Patent 6,235,879 describes the HIP1 protein. This publication does not describe a method of detecting cancer comprising detecting the presence of HIP1, kits for detecting cancer, methods of screening for compounds that alter HIP1 expression, or transgenic animals with altered HIP1 expression

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: May 6, 2004

Tanya A. Arenson Registration No. 47,391

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900 FORM PTO-1449 (Modified)

MAY 1 0 2004 U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: UM-06692

Serial No.: 10/007,047

(Modified)		ATTE	Patent and Trademark Office		Attorney Docket No.: UM-00092 Serial No.: 10/007,047					
INFO	ORMATIC	ON DEGLOSURE S	EXTEMENT BY API	PLICANT	Applicant: Theodora Ross et al.					
(37 CFR § 1.9	8(b))		• •		Filing Date: 12/06/01		Group Art Unit:			
				U.S. PATENT DOC	UMENTS					
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee		Class	Subclass Filing Date		Date	
	1	6,235,879	05/22/01	Kalci	nman et al.	530	350	0 05/27/98		
		FO	REIGN PATENTS C	R PUBLISHED FOR	REIGN PATENT APPLICA	TIONS				
	·	Document		_		Class	Subclass	Translation		
		Number	Publication Date	Country	Patent Office			Yes	No	
	2	WO 00/78813	28 Dec 2000		PCT		<u> </u>		<u> </u>	
		OTHER I	DOCUMENTS (Inclu	ding Author, Title, D	ate, Relevant Pages, Place	of Publication)				
	3	Abate-Shen and Shen, Genes Dev. 14:2410 [2000];								
	4 Ruijter et al., Endocr Rev. 20:22 [1999]; 5 Kalchman et al., Nat.Genet. 16:44 [1997];									
	6 Wanker et al., Hum. Mol. Genet. 6:487 [1997];									
	7	Metzler et al., J. Biol. Chem. 276:39271 [2001];								
	8	Mishra et al., J. Biol. Chem. 276:46230 [2001];								
	9 Rao et al., Mol Cell Biol. 21:7796 [2001];									
•	10	Waelter et al., Hum. Mol. Genet. 10:1807 [2001];								
1	11	Itoh et al., Science 291:1047 [2001];								
1	12	Ford et al., Science 291:1051 [2001]								
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	15	Bos, Cancer Res. 49:4682 [1989]								
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	18	Monks et al., J. Natl. Cancer inst., 83:757 [1991];								
	19 Ross et al., Blood 91:4419 [1998];									
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	21 Perrone et al., J. Natl. Cancer Inst., 92:937 [2000]								,	
	22	2 Rao et al., J. Clin Invest. 110:351 [2002];								
	23	Saint-Dic et al., J. E	Biol. Chem. 276:2119	2 [2001];						
	24	DiFiore et al., Cell 51:1063 [1987];  Daley et al., PNAS 85:9312 [1988];  DiFiore et al. Science 237:178 [1987];  Yarden et al., Nat. Rev. Mol. Cell. Biol. 2:127 [2001];								
	25									
	26									
	27									
	28 Confalonieri et al., J. Cell. Biol. 150:905 [2000];									
	29									
Examiner:					Date Considered:					
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EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.